





ED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter M. Dickstein, et al.

Examiner:

Unassigned

Serial No.:

09/752,650

Art Unit:

2185

Filed:

December 29, 2000

For:

SYSTEM AND METHOD TO ORGANIZE AND

AND SECURITIES

MANAGE CORPORATE CAPITALIZATION

Steven N. Meyers

Special Programs Examiner

Technology Center 3600

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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REQUEST FOR RECONSIDERATION IN A PETITION TO MAKE SPECIAL FOR PENDING APPLICATION [37 C.F.R. § 1.102(d) / MPEP § 708.2, II]

JUN 2 6 2003

Dear Sir. 00000028 022666

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In response to the Decision on Petition to Make Special (Infringement) mailed May 6, 2003, the Applicants request reconsideration of the Decision. The Petition was dismissed on the basis that Applicants allegedly failed to send a copy of the references deemed most closely related to the subject matter encompassed by the claims.

Applicants have fully complied with the requirement of MPEP 708.02 2(c) that the most closely related art be submitted. In an Information Disclosure Statement filed in the present case on October 1, 2002, Applicants did in fact submit the most closely related art as required under MPEP 708.02 2(c). Enclosed for the Examiner's review is a resubmission by copy of the Information' Disclosure Statement, PTO Form 1449, cited reference, postcard copy of the submission of October 1, 2002, and a copy of the return postcard showing that the cited reference was received by the Patent Office on October 7, 2002.

For these reasons, Applicants respectfully request withdrawal of the May 6, 2003 Decision and the granting of the subject Petition to Make Special.

The petition fee of \$130 was previously submitted with the December 17, 2002 petition. If there are any additional charges, please charge them to our Deposit Account Number 02-2666. If a telephone conference would facilitate the processing of this petition, the Examiner is invited to contact Jim H. Salter at (408) 720-8300.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: $\frac{6}{6}$, 2003

James H. Salter (Reg. No. 35,668)

Customer No. 008791 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Steven N. Meyers, Special Programs Examiner, Technology Center 3600, Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 13, 2003.

Barbara Skliba	
Name of Person Mailing Correspondence	
Brebre Sklilz	6/13/03
Signature	Date





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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LOS ANGELES

Serial/Patent No.:09/752.650 Client: eProsper Title: System And Method To Capitalization And Secur BSTZ File No.:05284.P001 Date Mailed:10/01/2002	Organize And Manage Corporate ities Atty/Secty Initials: JHS/cmw
The following has been mark at the real	Docket Due Date: *****
Annenamen/Response (pgs.) Appeal Brief (pgs.) (in triplicate) Application - Utility (pgs., with cover and abstract) Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - Periodic (pgs.) Application - PCT (pgs.) Application - PCT (pgs.) Application - Provisional (pgs.) Assignment and Cover Sheet - Certificate of Mailing Declaration & POA (pgs.)	Express Mail No.: Express Mail No.: Month(s) Extension of Time

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Client: System And Method To Organize And Manage Corpor Capitalization And Securities BSTZ File No.: 05284.P001 Atty/Secty Initials: JHS/cmw Docket Due Date: ****** The following has been received in the U.S. Patent & Trademark Office on the date stamped here Amendment/Response (
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□ Amendment/Response (pgs.) □ Express Mail No.: □ Check No. □ Appeal Brief (pgs.) (in triplicate) □ Month(s) Extension of Time Amt: □ Application - Utility (pgs., with cover and abstract) □ Information Disclosure Statement & PTO-1449 (on:
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Other: Copy of one cited reference.	

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Attorney's Docket No. 05284.P001

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATEL	NI AND TRADEMARK OFFICE
In Re Patent Application of:	
Peter M. Dickstein et al.	Confirmation No.: 1500
Application No.: 09/752,650	Art Unit: 2185
Filed: December 29, 2000	RECEIVED
For: System And Method To Organize	JUN 2 3 2003
And Manage Corporate Capitalization And Securities	Technology Center 2100
Assistant Commissioner for Patents Washington, D.C. 20231	
<u>INFORMATION DISCI</u> Sir:	LOSURE STATEMENT
Enclosed is a copy of Information Dis	closure Citation Form PTO-1449 together
with copies of the documents cited on that for	rm. It is respectfully requested that the cited
documents be considered and that the enclose	ed copy of Information Disclosure Citation
Form PTO-1449 be initialed by the Examiner	to indicate such consideration and a copy
thereof returned to applicant(s).	
I hereby certify that this correspondence is being deposited with sufficient postage in an envelope addressed to the Assi on October 1, 2002	stant Commissioner for Patents, Washington, D. C. 20231
Claire Wallters	f Deposit)
(Typed or printed name of person mailing correspo	ndence)

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

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(Signature of person mailing correspondence

the appropriate paragraph):

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XX 37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information ECEIVED Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

JUN 2 3 2003

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(Dechnology Center 2100

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOBF, TAYLOR & ZAFMAN LLP

Dated: $\sqrt{\rho}/\sqrt{2}$, 2002

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Los Angeles, CA 90025-1026

(408) 720-8300

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Substitute for Form 1449A/PTO (Modified)

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Complete if Known					
Application Number	09/752,650				
Filing Date	12/29/2000				
First Named Inventor:	Peter M. Dickstein				
Group Art Unit	2185				
Confirmation No.:	1500				
Attorney Docket Number	05284.P001				

					U.S. PATENT DOCUMENTS		
	Exam.	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
	01	120	Number Code ²	Kind (If known)		MM-DD-YYYY	Passages or Relevant Figures Appear
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Examiner	Date Considered	
Signature		

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.